SEC Form 4

FORM 4

Check this box if no longer subject

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287						
Estimated average burden							
hours per response	: 0.5						

to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).					pursuant to Section 16(a) of the Securities Exchange Act of 1934								Estimated average burden hours per response: 0.5		
1. Name and Address of Reporting Person [*] Robert Kevin L				or Section 30(h) of the investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol Vertex, Inc. [VERX]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
										X	Director Officer (giv	/e title	10% C Other	Owner (specify	
	C/O VERTEX, INC.				e of Earliest Transa /2020	Day/Year)		below)		below					
2301 RENAISSANCE BLVD				4. If Ai	mendment, Date of	Origina	l Filed	I (Month/Dav/	6. Indi	6. Individual or Joint/Group Filing (Check Applicable					
(Street) KING OF PRUSSIA	РА	19406			,	(,	Line) X	Form filed	led by One Reporting Person led by More than One Reporting					
(City)	(State)	(Zip)													
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned														
Date			2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Disposed Of 5)			5. Amount o Securities Beneficially Owned Follo Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
						Code	v	Amount	(A) or (D)	Price	Transaction (Instr. 3 and			(Instr. 4)	
Class A Common Stock 0			07/31/2	020		Р		7,895	A	\$ <mark>19</mark>	19,738	3	D		
Class A Common Stock 05/1			05/11/2	021		Α		8,494 ⁽¹⁾	A	\$ <mark>0</mark>	28,232	2	D		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)		5. Nun ode (Instr. Acqui (A) or Dispo of (D) (Instr. and 5)		vative rities lired r osed) r. 3, 4	6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Represents an award of restricted stock that will vest on the earlier to occur of (i) the day immediately prior to the date of the next annual meeting of the Company's stockholders and (ii) May 11, 2022.

<u>By: /s/ Lisa Coleman,</u>	
<u>Attorney-in-Fact</u>	
** Signature of Reporting Person	

05/13/2021

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.